

Process for risk profiling of the client

Client Risk Analysis

Name :- _____

What is your Age Group ?

- Under 35
- Under 45
- 46-55
- 55-60
- 60+

Investment Goal

- Capital Appreciation
- Regular Income
- Capital Appreciation and Regular Income

Proposed Investment Amount

- <1 lacs
- 1-2 lacs
- 2-5 lacs
- > 5 lacs

Preferred Investment type

- Short term Positional
- Long term Positional
- Intraday

Gross Annual Income details:-

- Below 1 lac,
- 1-5 lac,
- 5-10 lac,
- 10-25 lac,

- >25 lac

Sources of Income

A. Primary Source

- Salary
- Business

B. Secondary Source

- Royalties
- Rental
- Dividend
- Others Specify_____

Market Value of portfolio held

- <1 lacs
- 1-2 lacs
- 2-5 lacs
- > 5 lacs

Investment Experience

- < 3 years
- 3-5 years
- >5 years

Experience in market products

- Commodity
- Stock
- Derivatives Stocks
- Forex

- All

How many dependents do you financially support ?

- None
- Between 1-3
- 4+

What is the size of your emergency fund ?

- A do not have
- B < 1 month income
- C 1-3 months income
- D 3-6 months income
- E > 6 months income

What is your experience with equity investments ?

- Extensive experience
- Moderate experience
- Very less experience
- No experience

What is your experience with Commodity investments ?

- Extensive experience
- Moderate experience
- Very less experience
- No experience

What is your experience with Forex investments ?

- Extensive experience
- Moderate experience
- Very less experience
- No experience

What is your experience with investments in past ?

- Very Good
- Good

- Moderate
- Bad
- Very Bad

What is your preference w.r.t securities with low risk, low return over high risk,high return ?

- Strongly prefer
- Prefer
- Indifferent
- Do not prefer
- Strongly do not prefer

When market is not performing well do you prefer to buy risky investments and sell less risky investments ?

- Strongly prefer
- Prefer
- Indifferent
- Do not prefer
- Strongly do not prefer

Risk Tolerance

- High
- Medium
- Low

What percentage of monthly income is allocated to pay off debt [all EMIs]?

- None
- Between 0% -20%
- Between 20% - 35%
- Between 35% - 50%
- > 50%

Occupation (please select the appropriate)

- | | |
|--|---|
| <input type="checkbox"/> Private sector service, | <input type="checkbox"/> Public sector, |
| <input type="checkbox"/> Government sector, | <input type="checkbox"/> Business , |
| <input type="checkbox"/> Professional, | <input type="checkbox"/> Agriculturist, |
| <input type="checkbox"/> Retired, | <input type="checkbox"/> Housewife, |
| <input type="checkbox"/> Student, | <input type="checkbox"/> Dealer, |

Others

Are you any of the following, or are directly or indirectly related to any of the following

- Civil Servant,
- Politician,
- Current or former head of state,
- Bureaucrat (Tax authorities, Foreign Services, IAS etc),
- Current or former MP/MLA/MLC,
- Connected to Media,
- Connected to any company/promoter group/ group of companies listed on any stock exchange

Name of the company_____

Any specific commodities or shares in which you have experience and are willing to trade, if yes

Name of the securities_____

Clients with Long term Investment goal or capital appreciation as investment objectives are not accepted at M/s Money Market Manthan Financial Services